Form

AT-106

License Period

License(s) Requested

□ Class "A" Beer \$	□ "Class A" Liquor \$	License Fees	\$
□ Class "B" Beer \$	□ "Class B" Liquor \$	Publication Fee	\$
"Class C" Wine \$	"Class A" Liquor (Cider Only)	Background Check	\$
Reserve "Class B" Liquor	□ "Class B" (Wine Only) Winery \$	Total Fees	\$

Part A: Premises/Business Information			
1. Legal Business Name (registered entity name or in	ndividual's name	if sole proprietorship)	
2. Trade Name or DBA			
3. Premises Address			
4. County	5. Municipality 6. Aldermanic District		6. Aldermanic District
7. Mailing Address (if different from premises addres	s)		
8. FEIN	9. Wisc	onsin Seller's Permit Number	
10. Premises Phone	11. Prei	mises Email	
12. Entity Type (check one)	ł		
Sole Proprietor Partnership	Limited Li	ability Company 🛛 🗌 Co	rporation 🗌 Nonprofit Organization
13. Premises Description - Describe the buildin including living quarters, if used, for the sa beverages may be sold and stored ONLY of	ales, service, c	onsumption, and/or storage	of alcohol beverages and records. Alcohol

Part B: Questions	
1. Have the partners, agent, or sole proprietor satisfied the responsible beverage server training requirement for this license period? Submit a copy of Responsible Beverage Server Training Course Certificate	🗌 No
2. Does the applicant business or its partners, officers, directors, managing members, or agent hold a direct or indirect interest in any alcohol beverage wholesaler or producer (e.g., brewer, brewpub, winery, distillery)? Yes If yes, please explain using the space below. Attach additional sheets if necessary.	No

Part C: For Corporate/LLC Applicants Only			
1. State of Registration		2. Date of Registratio	n
3. Is the applicant business owned by another corporatio parent company below, include parent company mem company's principal members, managers, officers, or	bers in Part D, and attach Form A	Γ-103 for all of the pare	ent
Name of Parent Company	FEIN of Parent Company		
4. Does the parent company or any of its officers, director interest in any other alcohol beverage wholesaler or If yes, please explain using the space below. Attach	producer (e.g., brewer, brewpub,		ect 🗌 Yes 🗌 No
5. Agent's Last Name	Agent's First Name		Phone
Part D: Individual Information			
A Supplemental Questionnaire, Form AT-103, must be completed any parent company as indicated in Part C. Persons in the appl or nonprofit organization, all partners of a partnership, and all m	licant business include: sole proprietor	all officers, directors, an	
List the full name, title, and phone number for each person	n below. Attach additional sheets i	f necessary.	

Last Name	First Name	Title	Phone

Part E: Attestation

Who must sign this application?

• sole proprietor • one general partner of a partnership

one corporate officer

Date

• one managing member of an LLC

READ CAREFULLY BEFORE SIGNING: Under penalty of law, I have answered each of the above questions completely and truthfully. I agree that I am acting solely on behalf of the applicant business and not on behalf of any other individual or entity seeking the license. Further, I agree that the rights and responsibilities conferred by the license(s), if granted, will not be assigned to another individual or entity. I agree to operate this business according to the law, including but not limited to, purchasing alcohol beverages from state authorized wholesalers. I understand that lack of access to any portion of a licensed premises during inspection will be deemed a refusal to allow inspection. Such refusal is a misdemeanor and grounds for revocation of this license. I understand that any license issued contrary to Wis. Stat. Chapter 125 shall be void under penalty of state law. I further understand that I may be prosecuted for submitting false statements and affidavits in connection with this application, and that any person who knowingly provides materially false information on this application may be required to forfeit not more than \$1,000 if convicted.

Signature

Name (Last, First, M.I.)

Title

Email

Phone

Part F: For Clerk Use Only

Date application was filed with clerk	Date reported to governing body	Date provisional license issued (if applicable)
Date license granted	License number	Date license issued
Signature of Clerk/Deputy Clerk		

Form AT-106 Instructions

Original Alcohol Beverage License Application

Who needs an alcohol beverage license?

Any individual or entity that wants to sell alcohol beverages to consumers or allow consumption in a public place must get a retail alcohol beverage license.

Who issues alcohol beverage licenses?

Municipal clerks of cities, villages, and towns issue alcohol beverage licenses after the governing body (city council, town or village board) grants the license.

Specific Instructions

License Period:

• Annual licenses expire June 30 each year except licenses issued by the City of Milwaukee. Annual licenses issued by the City of Milwaukee may be issued at any time throughout the year and are valid for one year from the date of issuance.

License Requested and License Fees:

- Select the alcohol beverage license(s) you would like to apply for.
- Generally, you may apply for no more than two licenses for the same premises. Further, some license combinations are not acceptable, (e.g., "Class A" and a Class "B").
- For descriptions of each of the alcohol beverage licenses and their authorizations, see <u>Publication 302</u>, *Information for Wisconsin Alcohol Beverage and Tobacco Retailers*.
- License costs are determined by the municipality within a range set by state law. Ask your clerk how much the license, background check, and publishing fees in that municipality cost.
- License fees for licenses issued for less than 1 year must be prorated according to the number of months or fraction of months remaining in the licensing year.

Part A: Premises/Business Information

- Enter the legal business name in box 1.
- Enter the trade name or "doing business as" name in box 2, if different than the name in box 1.
- All requests for "premises" information are requests for the physical location within the municipality and contact information to reach the business during open hours.
- Check one entity type in box 12 to indicate how the business is legally organized.
- Box 9: For questions about obtaining a seller's permit, see Sellers Permit Common Questions.
- Box 13: Describe the premises in detail. Include outdoor spaces if your municipality allows it. Some municipalities have specific requirements for outdoor spaces as a part of the licensed premises. Call your municipal clerk to learn more. Attach a floor plan if possible.

Example: The premises is located at 1234 Main St., Realtown, WI 12345 and includes only the first-floor bar room, dining room, kitchen, north storage room, and south office of the 5,000 square foot building.

Part B: Questions

- Question 1: Wisconsin law requires all sole proprietors, partners, and agents of corporations and LLCs to successfully complete a Wisconsin approved responsible beverage server (RBS) training course within the past two years unless:
 - The applicant is renewing a license, or
 - Within the past two years:
 - a. The applicant held a manager's or operator's (bartender) license.
 - b. The applicant held or was the agent of a corporation or LLC that held any municipally-issued alcohol beverage license in Wisconsin.
- To learn about your responsibility to complete the responsible beverage server requirement, please review <u>Publication 302</u>, *Information for Wisconsin Alcohol Beverage and Tobacco Retailers*.

• Question 2: Wisconsin law generally prohibits businesses and individuals from having an interest in more than one tier of the alcohol beverage industry (production, wholesale, and retail). Some examples of prohibited interest restrictions are described in Administrative Code (<u>Tax 8.87</u>, Wis. Adm. Code).

Part C: For Corporate/LLC Applicants Only

- Complete this section if you checked corporation or a limited liability company in box 12, Part A.
- Box 3: If the applicant is owned by another corporation or LLC, include information about the parent company's principal managing members, officers, or directors in Part C below, including the completion of Form AT-103.
- Box 4: Some examples of prohibited interest restrictions are described in Administrative Code (<u>Tax 8.87</u>, Wis. Adm. Code).
- Box 5: List the name and the phone number of your appointed agent. Include a Form AT-103 for that person and a Form AT-104 with your application.

Note: If the applicant business, parent company, or any managing members, directors, or officers of either entity hold a direct or indirect interest in an alcohol beverage producer or wholesaler, you may not be eligible to hold a retail alcohol beverage license. Before submitting this form, reach out to your municipal clerk or the Department of Revenue.

Part D: Individual Information

- Provide basic information for all persons involved in the retail alcohol beverage business who are owners, officers, directors, managing members, or the agent.
- Example titles: President, Treasurer, Director, Chief Financial Officer, Member, Partner, etc.
- Sole-proprietors, partners in a partnership, and the agent of an LLC or corporation must reside in Wisconsin.
- Include a Supplemental Questionnaire (Form AT-103) for each person listed in this section with the submission of this application.

Part E: Attestation

• Read the attestation carefully, then sign and date.

Part F: For Clerk Use Only

- "Date license granted" means the date the municipal governing body approved the license to be issued.
- "Date license issued" means the date the municipal clerk issued the license certificate document.

Completion and Submission of AT-106

- Submit the completed application to the clerk of the municipality in which you are applying for a license.
- License applications must be filed with the municipal clerk at least 15 days before they can be approved by the governing body, except licenses issued by municipalities within Milwaukee County. Governing bodies of municipalities within Milwaukee County establish their own period that applications must be filed with the municipal clerk.
- In addition to Form AT-106, include:
 - Form AT-103 for the sole-proprietor; all officers, directors, and agent of a corporation or nonprofit organization; all partners of a partnership; all managing members and agent of a limited liability company
 - $\circ\,$ Form AT-104 for corporation, nonprofit organizations, and LLC applicants
 - · License and publication fees as required by your municipality
 - Responsible beverage server training course completion certificate or other acceptable replacement document described in Part B, Question 1
 - Proof the applicant holds a seller's permit, such as a copy of the seller's permit document

Note: See <u>Publication 206</u>, *Sales Tax Exemptions for Nonprofit Organizations*, for information on when a nonprofit organization may be exempt from holding a seller's permit.

• All other information and documents required by your municipality

NOTE: You are required by federal law to register as an Alcohol Dealer with the federal Alcohol and Tobacco Tax and Trade Bureau (TTB) before beginning business. Use Form TTB F 5630.5d Alcohol Dealer Registration and return the form to the address listed on the instructions.

Open Records

This application is an open record under state law (sec. <u>19.35</u>, Wis. Stats.) and may be provided to the public. If this license is issued by your municipality, your municipality must report the license to the Wisconsin Department of Revenue. The department will not disclose personal information such as residential addresses, home phone numbers, social security numbers, age, birth date, and place of birth of individuals, including partners, officers, directors, members, managers, and agents of corporations or LLCs.

Assistance

This form is designed by the Department of Revenue for use by municipal governments. If you require assistance with this form, consider reaching out to your local clerk for assistance with the following:

- · Submission of this application and associated forms
- Availability and cost of certain licenses in a community

If you have questions about alcohol beverage laws and regulations, you may contact the Department of Revenue using the contact information below.

Website: DOR Alcohol Beverage (wi.gov) Write: DORAlcoholTobaccoEnforcement@wisconsin.gov Call: (608) 264-4573

Resources Provided by the Department of Revenue

License frequently asked questions <u>Publication 302</u> DOR Alcohol Beverage Laws for Retailers Licenses <u>Publication 309</u> Retail Alcohol Beverage Licensing Guide for Municipalities <u>Fact Sheet 3101</u> Licenses for Retail Sale of Alcohol Beverages <u>Fact Sheet 3103</u> Licensed or Permitted Premises Description <u>Fact Sheet 3116</u> Reserve "Class B" Liquor Licenses <u>Fact Sheet 3118</u> "Class B" Liquor License Quotas